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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**



Declaration  
Submitted  
With Initial  
Filing

OR



Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

103-007-CIP

First Named Inventor

Graham Swift

COMPLETE IF KNOWN

Application Number

New Application

Filing Date

Art Unit

1711

Examiner Name

Terressa M Boykin

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Synthesis of Polysuccinimide and Copoly(succinimide-aspartate) in a supercritical fluid.

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/01 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

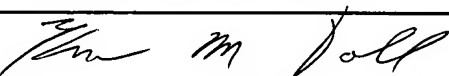
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**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number: <b>28727</b> OR <input type="checkbox"/> Correspondence address below			
Name			
Address			
City		State	ZIP
Country	Telephone	Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) <b>Graham</b>		Family Name or Surname <b>Swift</b>	
Inventor's Signature <i>GL SH</i>		Date <b>Oct 30 / 2003</b>	
Residence: City <b>Chapel Hill</b>	State <b>NC</b>	Country <b>US</b>	Citizenship <b>US</b>
Mailing Address <b>10378 East Church</b>			
City <b>Chapel Hill</b>	State <b>NC</b>	ZIP <b>27517</b>	Country <b>US</b>
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) <b>Kenneth Michael</b>		Family Name or Surname <b>Doll</b>	
Inventor's Signature		Date	
Residence: City <b>Peoria</b>	State <b>IL</b>	Country <b>US</b>	Citizenship <b>US</b>
Mailing Address <b>6516 N. University Street, Apt 1304</b>			
City <b>Peoria</b>	State <b>IL</b>	ZIP <b>61614</b>	Country <b>US</b>
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

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## DECLARATION — Utility or Design Patent Application

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Address			
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NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) <b>Graham</b>		Family Name or Surname <b>Swift</b>	
Inventor's Signature			Date
Residence: City <b>Chapel Hill</b>	State <b>NC</b>	Country <b>US</b>	Citizenship <b>US</b>
Mailing Address <b>10378 East Church</b>			
City <b>Chapel Hill</b>	State <b>NC</b>	ZIP <b>27517</b>	Country <b>US</b>
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) <b>Kenneth Michael</b>		Family Name or Surname <b>Doll</b>	
Inventor's Signature 			Date <b>10-29-03</b>
Residence: City <b>Peoria</b>	State <b>IL</b>	Country <b>US</b>	Citizenship <b>US</b>
Mailing Address <b>6516 N. University Street, Apt 1304</b>			
City <b>Peoria</b>	State <b>IL</b>	ZIP <b>61614</b>	Country <b>US</b>
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the <u>1</u> supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

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**DECLARATION****ADDITIONAL INVENTOR(S)**  
Supplemental SheetPage 2 of 2

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Randal Lee		Shogren	
Inventor's Signature <i>Randal L. Shogren</i>		Date <i>10/28/03</i>	
Residence: City	Chillicothe	State	IL
		Country	US
Citizenship US			
Mailing Address 23101 N. Berchtold Road			
Mailing Address			
City	Chillicothe	State	IL
		Zip	61523
		Country	US
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Ronald Alan		Holser	
Inventor's Signature <i>Ronald A. Holser</i>		Date <i>10-28-2003</i>	
Residence: City	Manito	State	IL
		Country	US
Citizenship US			
Mailing Address 25807 CR 2500 E.			
Mailing Address			
City	Manito	State	IL
		Zip	61546
		Country	US
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Julious L.		Willett	
Inventor's Signature <i>Julious L. Willett</i>		Date <i>10/28/03</i>	
Residence: City	Morton	State	IL
		Country	US
Citizenship US			
Mailing Address 1069 Johnson			
Mailing Address			
City	Morton	State	IL
		Zip	61550
		Country	US

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PTO/SB/01 (09-03)

Approved for use through 11/30/2003. OMB 0851-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	New Application
Filing Date	
First Named Inventor	Graham Swift
Title	Synthesis of Polysuccinimide..
Art Unit	1711
Examiner Name	Teressa M Boykin
Attorney Docket Number	103-007-CIP

I hereby appoint:

☒ Practitioners associated with the Customer Number:

28727

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
Address			
City	State	Zip	
Country			
Telephone	Fax		

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/95)

## SIGNATURE of Applicant or Assignee of Record

Name	Graham Swift		
Signature	<i>G. Swift</i>		
Date	Oct 30 2003		Telephone 919 960 0832

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 5 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# **POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM**

<b>Application Number</b>	<b>New Application</b>
<b>Filing Date</b>	
<b>First Named Inventor</b>	Graham Swift
<b>Title</b>	Synthesis of Polysuccinimide..
<b>Art Unit</b>	1711
<b>Examiner Name</b>	Terressa M Boykin
<b>Attorney Docket Number</b>	103-007-CIP

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Fax

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☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## **SIGNATURE of Applicant or Assignee of Record**

Name Kenneth Michael Doll

Signature

Date

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

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First Named Inventor	Graham Swift
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Art Unit	1711
Examiner Name	Terressa M Boykin
Attorney Docket Number	103-007-CIP

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☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## SIGNATURE of Applicant or Assignee of Record

Name Ronald Alan Holser

Signature

*Ronald A. Holser*

Date

10-28-2003

Telephone

309-968-3099

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 5 forms are submitted.

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First Named Inventor	Graham Swift
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Examiner Name	Terressa M Boykin
Attorney Docket Number	103-007-CIP

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City

State

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Telephone

Fax

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☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## SIGNATURE of Applicant or Assignee of Record

Name Randal Lee Shogren

Signature *Randal L. Shogren*

Date 10/28/03

Telephone 309-249-3071

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

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Examiner Name	Terressa M Boykin
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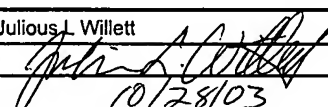
<input type="checkbox"/> Firm or Individual Name				
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Telephone		Fax		

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☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## SIGNATURE of Applicant or Assignee of Record

Name	Julious L Willett		
Signature			
Date	10/28/03	Telephone	309 263 0547

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Swift et al	EXAMINER: Terressa M Boykin
SERIAL NO: New Application	GROUP ART UNIT 1711
CONFIRMATION NO.:	DOCKET No.:103-007-CIP
FILED:	

FOR: Synthesis of Polysuccinimide and Copoly(succinimide-aspartate) in a Supercritical Fluid

ASSOCIATE POWER OF ATTORNEY

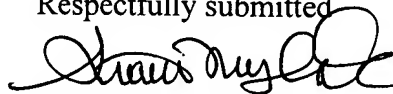
DIRECTOR OF THE UNITED STATES  
PATENT AND TRADEMARK OFFICE  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SIR:

Please recognize the following practitioners, as Associates with power to prosecute the above-captioned patent application before the United States Patent and Trademark Office:

Curtis P. Ribando, Reg. No. 27,976  
Randall E. Deck, Reg. No. 34,078  
John D. Fado, Reg. No. 27,876

Respectfully submitted



Stamatios Mylonakis, Ph.D.  
Registration No. 42,921